



**Arkansas Pre-Award Review Criteria**  
**Workforce Innovation and Opportunity Act (WIOA)**  
 FORM WIOA I-B – 4.1

**For Adult, Dislocated Worker, and Youth Programs**

The Arkansas Pre-Award Review Criteria is required when WIOA funds are used for workforce training (or work experiences) with a company that has operated at the work location for less than 120 days or if the facility has expanded in the last 120 days [WIOA 181(d); 20 CFR 683.260]. The form may also be used to review and document information concerning other employers or worksites.

**Section 1: Employer Information**

EMPLOYER LEGAL BUSINESS NAME:		FEIN #:
EMPLOYER WEBSITE:		
FORMER NAME(S) UNDER WHICH EMPLOYER CONDUCTED BUSINESS:		
CONTACT PERSON:		TITLE:
EMPLOYER ADDRESS:		
CITY:	STATE:	ZIP:
TELEPHONE:	EMAIL:	FAX:
TYPE OF ORGANIZATION: INDIVIDUAL <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> LLC <input type="checkbox"/> NOT FOR PROFIT <input type="checkbox"/> NON-PROFIT: <input type="checkbox"/> GOVERNMENT: <input type="checkbox"/>		
OTHER:		
COMPANY NAICS CODE:	CURRENT # COMPANY EMPLOYEES: CURRENT # THIS FACILITY EMPLOYEES:	YEARS COMPANY IN EXISTENCE: YEARS THIS FACILITY IN EXISTENCE:
HAS THE BUSINESS BEEN SOLD OR MERGED WITH ANOTHER COMPANY IN THE LAST 120 DAYS? YES <input type="checkbox"/> NO <input type="checkbox"/>		
IS THE BUSINESS IN THE PROCESS OF POTENTIALLY BEING SOLD OR MERGING WITH ANOTHER COMPANY? YES <input type="checkbox"/> NO <input type="checkbox"/>		

**Section 2: Company Review**

*Please check the appropriate response for the following Employer information.*

- 1) Has the company operated at the current location for at least 120 days. Yes  No   
 If Yes, this form is not required, although it may be used as a general pre-award review.  
 If No and the business relocated from another area in the U.S., were employees laid off at the previous location as a result of the relocation? Yes  No
  
- 2) Has a WARN notice relating to the employer been filed within the last 120 days? Yes  No
  
- 3) Within the last 120 days, has WIOA helped with job losses at another facility of this company? Yes  No   
 If Yes, when?  
 Where?

- 4) Will the workforce training or work experience result in the displacement of any employed workers, including reduction in hours? Yes  No
- 5) With the exception of transitional jobs or youth work experience, has the company exhibited a pattern of failing to provide continued long-term employment to qualified WIOA participants? Yes  No
- 6) WIOA funds will **not** be used to directly or indirectly assist, promote or deter union organizing. Agree   
Disagree
- 7) WIOA funds will **not** be used to directly or indirectly aid in the filling of job openings which are vacant because the former employee is on strike, lockout in the course of a labor dispute, or other labor dispute. Agree   
Disagree

### Section 3: Signatures

#### *Authorized Signatures*

I hereby certify that the above information is, to the best of my knowledge, true and correct.

EMPLOYER SIGNATURE:

DATE:

TYPE/PRINT NAME:

TITLE:

ADDRESS:

Outcome of this pre-award interview:

Employer meets all requirements of the pre-award. YES  NO

PROGRAM PROVIDER SIGNATURE:

DATE:

TYPE/PRINT NAME:

TITLE:

ADDRESS: